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## A Comprehensive Case Study of the New Drug and Clinical Trial Rules K. Narendran<sup>1\*</sup> and P. Shanmugasundaram<sup>2</sup>

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Abstract: Clinical trials are essential phases in the process of developing a new pharmaceutical product for market release. They are carefully designed studies that assess the safety and efficacy of a novel medication in human subjects. The process normally has several steps including: Before human trials, preclinical testing evaluates a drug's safety and efficacy in labs and on animals. A small group of healthy volunteers participate in phase 1 clinical studies to assess a drug's safety, dose range, and side effects. Phase 2 Clinical Trials examine the drug in more people with the targeted illness. Additional safety data and efficacy assessment are the goals. Phase 3 clinical studies have a larger patient cohort and provide more extensive drug safety and efficacy data. Randomized, controlled trials often compare the new drug to conventional treatments or a placebo. Phase 4 Clinical Trials, also known as Post-Marketing Surveillance, involve doing further studies to further assess the safety, effectiveness, and optimal utilization of a medicine in real-world scenarios even after it has been approved and made available in the market. Stringent laws and ethical norms are enforced throughout all stages of clinical studies to safeguard the rights and safety of participants. The requirements encompass getting informed consent from participants, following Good Clinical Practice (GCP) principles, and supervision by institutional review boards (IRBs) or ethical committees. In the present study, a questionnaire was prepared and circulated among various stakeholders of the healthcare team. Questions were based upon the New Drug Trial Rules and comparison between the rules and regulations between the different countries to evaluate the pros and cons of regulations of different countries on clinical trial studies so that updations could be demanded based on the same. Important conclusions were drawn based on the questionnaire.

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Keywords: Clinical trials, New Drug Trial Rules, Good Clinical Practice, Institutional Review Board

**Introduction:** Clinical trials are crucial stages in the development of a new pharmaceutical for commercial release. These trials are meticulously planned investigations that evaluate the safety and effectiveness of a new medicine in humans [1]. The method usually consists of multiple stages: **Preclinical** testing involves thorough laboratory experiments and animal research to assess the safety and efficacy of a medicine before human trials may begin [2].

**Phase 1** clinical trials evaluate the safety of a medicine, including its dosage range and potential side effects, using a small group of healthy volunteers.

**Phase 2** Clinical Trials involve testing the medicine in a larger group of patients with the targeted medical condition. The objective is to collect additional data on safety and initiate the assessment of its effectiveness [3].

**Phase 3** clinical trials encompass a bigger patient cohort and aim to offer more thorough data on the drug's safety and efficacy [4]. This stage frequently includes randomized, controlled trials that compare the new medication to current treatments or a placebo.

**Regulatory Review:** Upon completing Phase 3 trials, the drug sponsor submits a New Drug Application (NDA) or Biologics License Application (BLA) to regulatory authorities like the U.S. Food and Drug Administration (FDA) or the European Medicines Agency (EMA), as per the jurisdiction. Regulatory agencies analyze the data to decide if the drug should be authorized for commercialization [5,6].

**Phase 4 Clinical Trials**, also known as Post-Marketing Surveillance, involve doing further studies to further assess the safety, effectiveness, and optimal utilization of a medicine in real-world scenarios even after it has been approved and made available in the market [7].

Stringent laws and ethical norms are enforced throughout all stages of clinical studies to safeguard the rights and safety of participants. The requirements encompass getting informed consent from participants, following Good Clinical Practice (GCP) principles, and supervision by institutional review boards (IRBs) or ethical committees [8,9].

The existence of a regulation or rule concerning new drug trials in 2019 would vary depending on the unique country and regulatory agency. In the United States, the FDA regularly modifies its regulations and recommendations that oversee clinical trials and drug development procedures [10]. If you have a particular regulation or rule in mind, please share additional details so I can offer more information.

**Methodology:** An extensive literature review was conducted to investigate the regulatory structures for clinical trials, marketing authorization, and pharmacovigilance in Tanzania, Singapore, Ghana, India, and Saudi Arabia. The process involved examining academic journals, government papers, industrial reports, and databases of recognized international organizations for relevant information [11].

The primary materials assessed included regulatory guidelines, legislative documents, peer-reviewed studies, and reports from organizations such as the World Health Organization (WHO), the Food and Drug Administration (FDA), and the European Medicines Agency (EMA) [12]. The

sources provided comprehensive information on the legal, procedural, and operational aspects of pharmaceutical regulation in each country.

Global benchmarking approaches, including the World Health Organization (WHO) Global Benchmarking Tool (GBT), were essential for assessing the regulatory performance of Tanzania, Singapore, Ghana, India, and Saudi Arabia. The instruments facilitated a systematic evaluation of many attributes, including regulatory transparency, effectiveness, and adherence to global norms [13]. We utilized benchmarking tools to thoroughly assess the regulatory landscape of each country, identifying strengths and areas for improvement.

The case studies and reports from regulatory agencies and international organizations were thoroughly analyzed to gain in-depth understanding of the strengths and weaknesses of each country's regulatory systems. The case studies illustrated regulatory challenges, accomplishments, and best practices, improving our understanding of the complex problems discussed [14].

We gathered detailed data on the regulatory structures governing clinical trials, marketing approval, and pharmacovigilance in Tanzania, Singapore, Ghana, India, and Saudi Arabia through a comprehensive method [15]. We aggregated and evaluated the data to create a robust framework for our comparative analysis, enabling us to offer significant insights into the regulatory landscapes of other countries.

#### **Preparation of Questionnaire:**

An extensive questionnaire was prepared and shared with diverse healthcare professionals. Around 200 volunteers having experience from 1 year to more than two decades participated in the questionnaire. The questionnaire included diversified questions based on New Drug Trial Rules 2019. The different questions included are summarized as follows:

- 1. Do you think that 'The New Drugs and Clinical Trials Rules 2019' has appropriate provision for protection of subjects regarding compensation for trial-related injury?
- 2. What are the frequent inadequacies or deficiencies you notice while reviewing the CT applications?
- 3. It is often recognized that conducting clinical trials in India offer various advantages compared to Singapore, Tanzania & Ghana. Do you think that Indian clinical trial rules are lenient as compared to the rules in Singapore, Tanzania & Ghana in terms of protecting trial subjects?
- 4. Do you think Post Market Assessment rules listed in Fifth schedule of New drugs and clinical trial rules 2019 are at par with other regulated markets?
- 5. What are your recommendations to improve the new rules?
- 6. Though 'The New Drugs and Clinical Trials Rules 2019' does not mention anything specifically about trials results made available in public domain, do you agree approved trials regularly publish their results in public domain?
- 7. As per the new rules, requirements can be waived off or modified for the drug which is approved and marketed for two years in other countries and CLA agrees that there is adequate published evidence regarding its safety. Are you in agreement of this provision?

- 8. It is often recognized that pharmacovigilance practices in India are more relaxed in comparison to Singapore, Tanzania & Ghana Do you think that Indian rules are lenient as compared to the rules in Singapore, Tanzania & Ghana in terms of reporting ADRs?
- 9. Do you think ADR's are reported efficiently in India for Marketed medicinal products?
- 10. Do you agree that there should be provision in 'The New Drugs and Clinical Trials Rules 2019' for reporting of AEs of Drugs approved for more than 4 years?

**Results and Discussion:** The responses of the volunteers led to some important conclusions about the laws, rules and regulations in different countries for Clinical Trial Studies and their comparative analysis.

The responses received could be summarized as follows:

1. Do you think that 'The New Drugs and Clinical Trials Rules 2019' has appropriate provision for protection of subjects regarding compensation for trial-related injury?

On this question, 89.2% volunteers gave the response "Yes" which indicates that majority of healthcare professionals believes that 'The New Drugs and Clinical Trials Rules 2019' has appropriate provision for protection of subjects regarding compensation for trial-related injury.

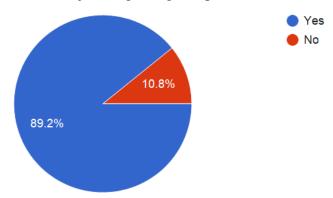


Figure 1: Response of volunteers about provision for protection of subjects regarding compensation for trial related injury

2. What are the frequent inadequacies or deficiencies you notice while reviewing the CT applications?

18.8% people believe that CMC data including stability of drug products in clinical trials are inadequate. 18.9% people think that Clinical Trial design & Sample size are not as per statistical or regulatory requirements. Few believe that the pre clinical studies are incomplete. While majority of 45.9% volunteers believe that all the factors are responsible for the inadequacies of the CT applications.

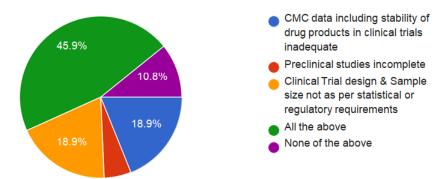


Figure 2: Response of volunteers about inadequacies of CT Applications

3. It is often recognized that conducting clinical trials in India offer various advantages compared to Singapore, Tanzania & Ghana. Do you think that Indian clinical trial rules are lenient as compared to the rules in Singapore, Tanzania & Ghana in terms of protecting trial subjects?

On this question, 29.7% volunteers strongly agreed that conducting clinical trials in India offer various advantages compared to Singapore, Tanzania & Ghana. Indian clinical trial rules are lenient as compared to the rules in Singapore, Tanzania & Ghana in terms of protecting trial subjects. Thus it can be concluded that majority of healthcare professionals believe that Indian rules of Clinical Trial Studies are advantageous over the Clinical Trial Protocol of other countries.

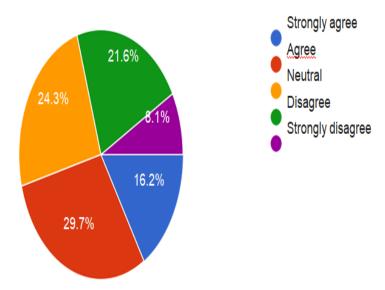


Figure 3: Response of volunteers about advantages of conducting Clinical Trials over Singapore, Tanzania & Ghana in terms of protecting trial subjects

4. Do you think Post Market Assessment rules listed in Fifth schedule of New drugs and clinical trial rules 2019 are at par with other regulated markets?

45.9% agreed that Post Market Assessment rules listed in Fifth schedule of New drugs and clinical trial rules 2019 are at par with other regulated markets which states that the post marketing assessment rules are considered to be appropriate by the healthcare professionals.

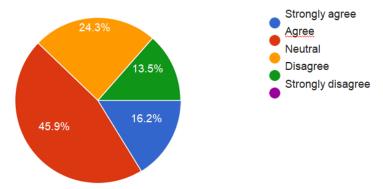


Figure 4: Response of volunteers about Post Market Assessment rules listed in Fifth schedule of New drugs and clinical trial rules 2019

5. What are your recommendations to improve the new rules?

73% volunteers believe that there should be synchronicity among Clinical Trial Protocol of country with FDA and EMA Guidelines for the better efficacy and efficiency of the Clinical Trial Studies. About 43.2% volunteers believe that there should be drug or disease specific guidelines which can lead to better performance of the Clinical Trial Studies.

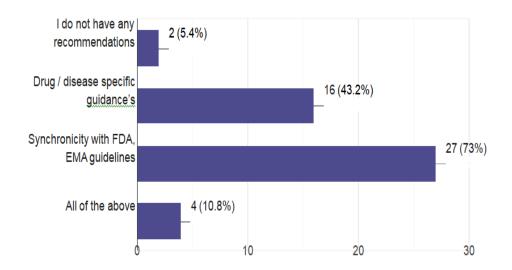


Figure 5: Response of volunteers about recommendations to improve the new rules

- 6. Though 'The New Drugs and Clinical Trials Rules 2019' does not mention anything specifically about trials results made available in public domain, do you agree approved trials regularly publish their results in public domain?
- 54.1% volunteers believe that approved trials regularly publish their results in public domain which indicates the transparency of Clinical Trial Studies in the country.

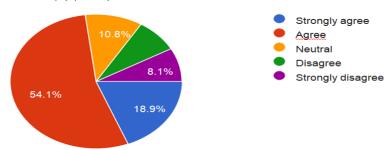


Figure 6: Response of volunteers about publication of clinical trial studies on public domain

7. As per the new rules, requirements can be waived off or modified for the drug which is approved and marketed for two years in other countries and CLA agrees that there is adequate published evidence regarding its safety. Are you in agreement of this provision?
75.7% healthcare professionals responded that as per the new rules, requirements can be waived off or modified for the drug which is approved and marketed for two years in other countries and CLA agrees that there is adequate published evidence regarding its safety.

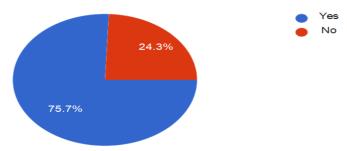


Figure 7: Response of volunteers about published evidence regarding the safety of tested drugs

8. It is often recognized that pharmacovigilance practices in India are more relaxed in comparison to Singapore, Tanzania & Ghana Do you think that Indian rules are lenient as compared to the rules in Singapore, Tanzania & Ghana in terms of reporting ADRs?

Majority of volunteers responded that the pharmacovigilance practices in India are more relaxed in comparison to Singapore, Tanzania & Ghana. It is believed by majority that the Indian rules are more lenient as compared to the rules in Singapore, Tanzania & Ghana in terms of reporting ADRs.

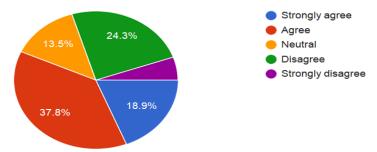


Figure 8: Response of volunteers about pharmacovigilance practices in India in comparison to Singapore, Tanzania & Ghana

9. Do you think ADR's are reported efficiently in India for Marketed medicinal products?

Majority of volunteers believe that ADR are not efficiently reported in India for Marketed medicinal products. The reasons may include the non-awareness among the public about the ADR reporting and negligence of public about it.

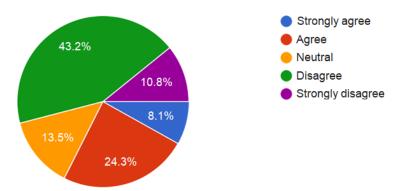


Figure 9: Response of volunteers about ADR reporting in India

- 10. Do you agree that there should be provision in 'The New Drugs and Clinical Trials Rules 2019' for reporting of AEs of Drugs approved for more than 4 years?
- 51.4% healthcare volunteers agreed that there should be provision in 'The New Drugs and Clinical Trials Rules 2019' for reporting of AEs of Drugs approved for more than 4 years which could lead to better safety and efficacy of the trial studies.

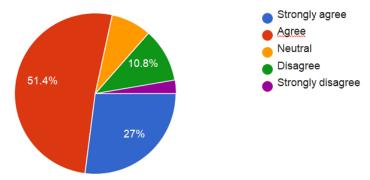


Figure 10: Response of volunteers about provision for reporting of AEs of Drugs approved

**Conclusion:** The survey results emphasize the viewpoints of healthcare experts on many areas of clinical trial legislation in India and other nations. A large majority of professionals have shown a positive perspective towards the New Drugs and Clinical Trials Rules 2019, especially regarding the protection of trial subjects and the flexibility compared to legislation in Singapore, Tanzania, and Ghana.

The feedback highlights many concerns, such as perceived deficiencies in data provision, trial design, and post-market reviews. There is agreement on the importance of aligning with worldwide standards, drug-specific procedures, and improved pharmacovigilance techniques.

Factors like as enforcement concerns, demanding clearance processes, and limited infrastructure and experience are recognized as challenges that contribute to the slower approval of new pharmaceuticals in India. India's centralized regulatory power is acknowledged, while there are areas that should be enhanced.

Singapore is recognized for its efficiency and transparency in regulatory frameworks, especially in pharmacovigilance, while India is known for its flexibility and adaptation. India is known for stressing patient safety and efficacy in its regulatory approach.

The survey's observations offer significant views for policymakers and stakeholders to tackle current difficulties and improve the regulatory environment for clinical trials in India and other regions.

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